

1 Previous growing season climate controls the occurrence of black  
2 spruce growth anomalies in boreal forests of Eastern Canada

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## 23 Abstract

24 To better understand climatic origins of annual tree-growth anomalies in boreal forests, we  
25 analysed 895 black spruce (*Picea mariana* [Mill.] B.S.P.) tree-growth series from 46 xeric  
26 sites situated along three latitudinal transects in Eastern Canada. We identified inter-annual  
27 (based on comparison to previous year growth) and multi-decadal (based on the entire tree-  
28 ring width distribution) growth anomalies between 1901 and 2001 at site and transect levels.  
29 Growth anomalies occurred mainly at site level and seldom at larger spatial scales. Both  
30 positive inter-annual and multi-decadal growth anomalies were strongly associated with  
31 below-average temperatures and above-average precipitation during the previous growing  
32 season (June<sub>t-1</sub>-August<sub>t-1</sub>). The climatic signature of negative inter-annual and multi-decadal  
33 growth anomalies was more complex and mainly associated with current year climatic  
34 anomalies. [Between the early and late 20<sup>th</sup> century](#), only negative multi-decadal anomalies  
35 became more frequent. Our results highlight the role of previous growing season climate in  
36 controlling tree growth processes and suggest a positive association between climate warming  
37 and increases in the frequency of negative multi-decadal growth anomalies. Projected climate  
38 change may further favour the occurrence of tree-growth anomalies and enhance the role of  
39 site conditions as modifiers of tree response to regional climate change.

40 **Key words:** ecological resilience, climate change, growth sensitivity, adaptive capacity, forest  
41 productivity

42

## 43 Résumé

44 Nous avons étudié l'origine climatique des anomalies de croissance des forêts boréales en  
45 analysant 895 séries de croissance d'épinette noire (*Picea mariana* [Mill.] B.S.P.) provenant  
46 de 46 sites xériques repartis le long de trois transects latitudinaux dans l'Est Canadien. Nous  
47 avons identifié les anomalies de croissance interannuelles (comparaison à l'année précédente)  
48 et multi-décennales (comparaison à toutes les années) pour chaque site et transect de 1901 à  
49 2001. Les anomalies de croissance apparaissent principalement à l'échelle du site mais  
50 rarement à de plus larges échelles géographiques. Les anomalies positives (interannuelles et  
51 multi-décennales) sont fortement associées à des températures basses et des précipitations  
52 fortes pendant la saison de croissance de l'année précédente. L'origine climatique des  
53 anomalies négatives (interannuelles et multi-décennales) est plus complexe et généralement  
54 associée à des anomalies climatiques de l'année en cours. [Entre le début et la fin du XX<sup>e</sup>](#)  
55 [siècle, seules les anomalies multi-décennales négatives sont devenues plus fréquentes.](#) Nos  
56 résultats révèlent l'importance du climat de la saison de croissance précédente dans  
57 l'apparition d'anomalies de croissance et suggèrent un lien positif entre le réchauffement  
58 climatique et l'augmentation de la fréquence des anomalies multi-décennales négatives.  
59 [L'augmentation prévue des températures dans les prochaines décennies pourrait davantage](#)  
60 [accroître la fréquence des anomalies.](#)

61 Mots-clés: résilience écologique, changement climatique, sensibilité de croissance, capacité  
62 d'adaptation, production forestière

63

## 64 Introduction

65 Recent climate dynamics indicate an increase in global mean temperature and in the  
66 frequency and intensity of climate extremes (IPCC 2014). Trees have shown physiological  
67 limitations to cope with the rate of climate changes (Renwick and Rocca 2015), as evidenced  
68 by the occurrence of recent geographically widespread growth declines (Girardin et al. 2014)  
69 and drought-induced mortality (Allen et al. 2010). Effects of climate change on tree growth  
70 are most often assessed by correlating continuous time series of annual tree-rings data with  
71 climate variables (Fritts 1976). Among less common approaches is the use of discontinuous  
72 series, such as binary time series of years of growth anomalies, that also provide information  
73 on the effects of climate anomalies on tree-growth dynamics (Neuwirth et al. 2007).

74 Nevertheless, the influence of climate extremes on tree growth, and particularly on the  
75 occurrence of tree-growth anomalies, is complex and still poorly understood. Existing studies  
76 suggest that, depending on their timing, duration and intensity, climate extremes impact tree  
77 growth in different ways. For instance, unusually low precipitation during spring and summer  
78 has often been associated with reduced tree growth, while similar anomalies in autumn and  
79 winter rarely affect growth (Zeppel et al. 2014). Similarly, frost events prior to bud break  
80 usually do not impact growth, whereas frost events following bud break can damage newly  
81 formed needles or leaves, and lead to a decreased growth during the remaining growing  
82 period (Sutinen et al. 2001). Moreover, due to temporal changes in tree sensitivity to climate,  
83 recurrent climate extremes during an individual tree's lifespan may trigger contrasting growth  
84 responses (Fritts 1976).

85 Despite the complexity of associations between climate extremes and growth anomalies,  
86 temporal [changes](#) in the frequency of growth anomalies may reflect occurrence of extreme  
87 weather conditions at regional scales (Fonti et al. 2010) and may also provide information on  
88 tree sensitivity and tree capacity to adapt to climate change, especially in well-drained sites

89 where trees are more sensitive to changes in precipitation patterns (Fritts 1976). For example,  
90 narrow rings formed during droughts are generally characterized by higher proportions of  
91 latewood cells that increase tree “hydraulic safety” (Pothier et al. 1989). The plasticity of  
92 anatomical structure in tree rings may therefore represent an adaptation strategy to withstand  
93 soil water deficits (Bigler and Veblen 2009). On the other hand, more frequent negative  
94 growth anomalies may reflect an increase in the occurrence of drought conditions whereas  
95 more frequent positive growth anomalies may reflect trees’ capacity to maintain high growth  
96 levels despite changes in mean climate and climate variability. The use of [temporal changes](#)  
97 in the frequency of growth anomalies as proxy for climate variability or/and tree capacity to  
98 withstand such variability calls for a better understanding of associations between regional  
99 climate dynamics and growth anomalies.

100 Growth anomalies are commonly studied on annually resolved tree-ring series  
101 (Schweingruber et al. 1990). Anomalies observed in a large proportion of individual tree-  
102 growth series within the same site or region have been called pointer years (Schweingruber et  
103 al. 1990) and have been associated with large-scale climatic anomalies (Schultz et al. 2009),  
104 insect outbreaks (Boulanger et al. 2012) and volcanic eruptions (Gennaretti et al. 2014).  
105 Boreal forests in Canada cover 55% of the land area and are dominated by black spruce  
106 (*Picea mariana* [Mill.] B.S.P.). Because of its ecological and economical importance, large  
107 geographical distribution and sensitivity to climate, black spruce has been widely used to  
108 study climate-growth interactions (Hofgaard et al. 1999; Rossi et al. 2006). Growth declines  
109 have been reported to dominate across old-growth black spruce forests of North America  
110 (Girardin et al. 2012). These results suggest that the benefits of warmer temperatures, such as  
111 a longer growing season, may not necessarily counterbalance the moisture stress and  
112 respiration-associated carbon loss triggered by higher temperatures.

113 In Eastern Canada, seasonal temperatures have increased since the beginning of the 20<sup>th</sup>

114 century (Hansen et al. 2010), while seasonal precipitations have shown inconsistent patterns  
115 (Wang et al. 2014). Warmer temperatures increase tree respiration, decrease trees' carbon  
116 stock and shift carbon allocation from stem to roots or foliage (Gifford and Evans 1981).  
117 Such changes in allocation patterns may favour the occurrence of growth anomalies. In the  
118 boreal forest of western Quebec, pointer years of black spruce have recently been associated  
119 to anomalies in spring and summer weather (Drobyshev et al. 2013). However, no studies  
120 have yet specifically investigated the spatiotemporal frequency and climatic origin of black  
121 spruce growth anomalies at synoptic ( $10^3 \text{ km}^2$ ) scales. In this paper, we analyze (1) the  
122 spatiotemporal patterns and (2) climatic origin of pointer years across province-wide climatic  
123 gradients in well-drained boreal forests in Quebec. We formulate three hypotheses: (i) pointer  
124 years occur synchronously across climatic gradients within boreal Quebec; (ii) pointers years  
125 are mainly associated with climatic anomalies during the growing season; and (iii) in the face  
126 of climate change, negative and positive pointer years have become more and less frequent,  
127 respectively.

128

## 129 Material and Methods

### 130 *Study area*

131 We studied black spruce growth along three latitudinal transects in northern Quebec (Figure  
132 1). The western transect (henceforward named West) is characterised by low plains (200-350  
133 m a.s.l.) while the central and eastern transects (Central and East, respectively) are dominated  
134 by hills (400-800 m a.s.l.), particularly pronounced in the north. Dominant overlying bedrock  
135 deposits consist of peat along West and of till along Central and East (Ministère des  
136 Ressources naturelles du Québec 2013). The two main climatic gradients in the study area are  
137 a decreasing temperature gradient from south to north and an increasing summer precipitation  
138 gradient from west to east. July and January are the warmest and coldest month of the year,

139 respectively (Table 1). The mean growing season length (1971-2000), starting 10 days after  
140 average daily temperature is above 5°C and ending at fall frost, ranges from < 100 days in  
141 northern parts to 110-120 days in southern parts of all transects (Agriculture and Agri-Food  
142 Canada 2014). The growing season starts in late April in West and early May in Central and  
143 East, and ends in early October in all transects (Table 1). The whole study area receives a  
144 similar amount of precipitation between May and September, even if it rains substantially less  
145 along West than along Central and East over June to August (Figure 1). Major snowfall  
146 periods occur in December and January in all transects, with additional important snowfall in  
147 March in Central and East (Table 1). Due to these temperature and precipitation gradients,  
148 current fire cycles are shorter in the western part (about 95 years) than in the eastern part of  
149 the study area (up to 2000 years) (Ministère des Ressources naturelles du Québec 2013).

150

#### 151 *Site selection and sampling*

152 We selected 14 to 17 sampling sites along each transect (Table 1, Figure 1), using the 2007  
153 Provincial Forest Inventory (Ministère des Ressources naturelles du Québec 2009). Most sites  
154 were situated in the spruce-moss forest bioclimatic domain, but few northernmost sites were  
155 located in the spruce-lichen domain (Figure 1, Supplement S1). Selected sites consisted of  
156 unmanaged black spruce forests (> 100 years) on well-drained soils. We selected unmanaged  
157 forests to minimize anthropogenic impacts on growth patterns, old stands to allow the  
158 construction of long series and sites on well-drained soils (xeric to mesoxeric) to maximize  
159 precipitation signal in tree-growth series and drought effects on tree-growth.

160 At each site, we collected 3-16 cores from dominant healthy living trees (one core per tree)  
161 and 0-15 cookies from dead trees (one cookie per tree) (Supplement S2). We sampled cores  
162 and cookies as close as possible to the ground but above stem base deformities, using an  
163 increment borer and chainsaw, respectively. The total number of samples per site ranged from

164 10 to 27 (Table 1, Supplement S2). Dead trees were sampled to extend series and accounted  
165 for 0-100% (40% in average) of the sampled trees per site (Supplement S2). We attempted to  
166 restrict sampling of dead trees to snags of trees that were dominant when still alive. Ten pre-  
167 selected sites along West burnt before sampling in 2013. As no trees had survived, sampling  
168 was adapted accordingly to only include recently dead but previously dominating trees (15  
169 cookies per site, Supplement S2). We sampled trees during the summers of 2013 and 2014.

170

### 171 *Sample preparation, crossdating and measurements*

172 Tree-growth samples were sanded, scanned and measured with an accuracy of 0.01 mm using  
173 the CooRecorder program (Cybis Elektronik & Data AB 2015). Prior to analyses, we quality  
174 checked each tree-growth series. First, we visually and statistically crossdated tree-growth  
175 series at site level using the R package *dplR* (Bunn 2010) and the COFECHA program  
176 (Grissino-Mayer 2001). Following crossdating, we excluded tree-growth series presenting a  
177 low correlation ( $r < 0.4$ ) with their respective site master (average of all series of a site except  
178 the focal series). We also excluded tree-growth series presenting any growth reduction longer  
179 than five years that synchronized with years of known spruce budworm outbreaks (Boulanger  
180 and Arseneault 2004). Out of 1380 tree-growth series, 895 passed the quality check and were  
181 used in the analyses: 183, 342 and 370 individual tree-growth series along West, Central and  
182 East, respectively (Table 1, Supplement S2). Quality checked tree-growth series were then log  
183 transformed, detrended using a 32-year spline and prewhitened (Cook and Peters 1997). This  
184 standardisation procedure kept high-frequency variations in growth, mainly linked to climate  
185 variability, while removing low-frequency variations commonly related to biological or stand-  
186 level effects. As a result, the standardisation increased correlation between tree-growth series  
187 and climate. Finally, we built raw and detrended site series, calculated as the biweighted  
188 robust mean of all raw or detrended series from a site (Supplement S2). Site series lengths

189 ranged from 120 to 312 years (Table 1, Supplement S2). Most raw site series presented a  
190 signal-to-noise ratio larger than 2 and an expressed population signal larger than 0.6. Both  
191 indicators generally increased after detrending (Supplement S2).

192

### 193 *Identification of pointer years*

194 Pointer years are commonly defined as growth anomalies appearing synchronously in several  
195 individual tree-growth series within a specific geographical region or site (Fritts 1976). The  
196 identification of pointer years can vary substantially depending on the time frame within  
197 which anomalies are defined (Bijak 2008). In this study, we concomitantly considered two  
198 definitions of pointer years previously used in the literature. First, we considered pointer years  
199 as inter-annual growth anomalies, also known as pointer interval (Schweingruber et al. 1990).  
200 We termed these as year-to-year (YTY) pointer years. YTY pointer years were defined as  
201 years in which at least 75% of the trees within a site recorded a 10% increase or decrease in  
202 ring width as compared to the previous year (Mérian 2012). Second, we considered pointer  
203 years as multi-decadal growth anomalies, i.e., years in which tree-ring width fell outside the  
204 central 90% of the ring width distribution of a tree. We termed these as quantile (QTL)  
205 pointer years. QTL pointer years were defined as years in which at least 20% of the trees  
206 within a site exhibited a growth in the upper and lower 5% quantiles of the distribution  
207 (Drobyshev et al. 2013). The two identification methods differ in initial inputs (raw series for  
208 YTY and detrended series for QTL pointer years) and in the temporal scale at which  
209 anomalies are defined (short-term variability in YTY and long-term variability, i.e., over the  
210 entire lifespan of an individual tree in QTL).

211 We identified positive and negative pointer years at site level when site series included at  
212 least 10 individual tree series between 1901-2001. All site series presented a sample depth of  
213 10 over the entire study period except six series in West that had a replication of 10 only from

214 1900-1950, 1920-1974, 1900-1973, 1900-1988, 1900-1972 and 1918-2001. Lastly, we  
215 identified years in which at least 50% of the site series within a transect recorded a pointer  
216 year of identical sign (positive or negative), henceforward named main pointer years.

217

#### 218 *Ordination of pointer years' occurrence at site level*

219 Between 1901-2001, we coded pointer years as 1 and all other years as 0, and built site-  
220 specific binary time series for each of the four types of pointer years (positive/negative  
221 YTY/QTL). Years with a sample depth below 10 trees were coded as NA. We evaluated  
222 between-site similarity in the occurrence of pointer years by non-metric multidimensional  
223 scaling using the R package *vegan* (Oksanen et al. 2015). This ordination method condenses a  
224 set of multiple time series into a set of two or three principal components (dimensions) to  
225 facilitate the visual interpretation of the results. The ordination was performed separately for  
226 each type of pointer year using Euclidean distances between binary time series. We ran the  
227 ordination at a two-dimension level with a limit of 150 random iterations. However, stable  
228 results were always found after a maximum of 10 iterations.

229

#### 230 *Synchronicity of pointer years along and across transects*

231 To account for possible random effects on synchronicity, we tested differences between  
232 observed and expected frequencies of synchronous pointer years along and across transects  
233 with a Chi-square test between 1901-2001. Considering within-transect synchronicity, we  
234 calculated transect-specific ratios of observed vs. expected number of years with zero to N  
235 sites synchronously presenting a pointer year. N was the highest observed number of sites  
236 synchronously recording a pointer year. Similarly, to evaluate synchronicity levels across  
237 transects, we calculated ratios of observed vs. expected number of years with zero to three  
238 transects synchronously presenting a main pointer year (cf. identification of pointer years). To

239 comply with requirements of the Chi-square test, we aggregated data into classes with  
240 expected frequency above five.

241

#### 242 *Climate data*

243 Climate data from meteorological stations in Quebec are too scarce to perform accurate and  
244 reliable climate-growth analyses at large geographical scales. We, therefore, used climate data  
245 from the 0.5° x 0.5° CRU TS 3.22 global dataset (Harris et al. 2014). Site-specific climate  
246 data were extracted using 0.5° x 0.5° grid cells, each site location defining the centre of a  
247 climatic grid cell. Prior to analyses, we verified the quality of the extrapolated grid data by  
248 comparing them to climate data from 11 meteorological stations in Quebec (Environment  
249 Canada 2014) that had not been used in the construction of the CRU dataset (Supplement S3).  
250 We averaged station data at transect level and compared them to the average of all site-  
251 specific 0.5° x 0.5° grid cells data along each transect between 1936-2004, the longest  
252 common period between both types of climate data. Grid data correlated well ( $r > 0.97$ ) with  
253 station data, preserving climate variability within and between transects, i.e., north-south  
254 temperature and west-east precipitation gradients (data not shown). The extrapolated grid data  
255 were, therefore, selected as climate input for all further analyses. The mean climatic  
256 characteristics of each transect between 1901-2001 are presented in Table 1. In addition to  
257 temperature and precipitation, we extracted monthly North Atlantic Oscillation and Arctic  
258 Oscillation indices from the Climate Prediction Center database (NOAA 2014) between 1950-  
259 2001.

260

#### 261 *Associations between pointer years and climate*

262 We studied associations between the occurrence of pointer years and climatic anomalies at  
263 site level through superposed epoch analyses using the R package *dplR* (Bunn 2010). These

264 analyses evaluate whether the mean values of climate variables during pointer years  
265 significantly differ from their mean values during normal years. Climate variables included  
266 monthly mean, maximum and minimum temperature and total precipitation and the two  
267 monthly oscillation indices. We performed superposed epoch analyses for each of the four  
268 types of pointer years (positive/negative YTY/QTL). We ran analyses on the longest common  
269 period between climatic records and site-specific binary time series, i.e., 1901-2001 for  
270 temperature and precipitation, and 1950-2001 for the two oscillation indices. Analyses  
271 included months from previous May ( $\text{May}_{t-1}$ ) to current August ( $\text{August}_t$ ).

272 *In addition, we studied climate-growth interactions along each transect by investigating*  
273 *correlation coefficients and response functions between detrended transect series (average of*  
274 *all detrended site series along a transect) and the above-mentioned climate variables.*

275 *Analyses were performed using the R package bootRes (Zang and Biondi 2013). All*  
276 *correlation coefficients and response functions were tested for 95% confidence intervals using*  
277 *1000 bootstrap samples.*

278

### 279 *Temporal changes in the frequency of pointer years*

280 We studied *changes* in the frequency of pointer years between 1901-2001 by dividing the  
281 study period into three sub-periods of approximately 30 years (1901-1935, 1936-1970, 1971-  
282 2001). This temporal division, based on the definition of climate by the World Meteorological  
283 Organization (WMO 2015), assumes a 30-year block-stationary climate (Visser and Petersen  
284 2012). We partitioned our study area into six regions by dividing each transect into a northern  
285 and southern region (Figure 1, Supplement S1). The north-south delimitation along each  
286 transect was defined by the median latitude of all sites.

287 We identified *changes* in the frequency of pointer years between the first (1901-1935) and last  
288 sub-period (1971-2001) using generalized linear models with binomial distribution (Crawley

289 2005). Models were run at a regional level. For each region, we tested the [significance of](#)  
290 [temporal changes](#) in pointer year frequencies, aggregating data from all site-specific binary  
291 time series within that region. In case of over-dispersion in the residuals, we re-fitted the  
292 models using quasibinomial distribution and performed a Pearson's Chi-squared test to test for  
293 significance in differences following this readjustment (Crawley 2005). All Chi-square tests  
294 were significant at  $p < 0.05$ .

295

## 296 Results

### 297 *Among-site similarities in occurrence of pointer years*

298 Regardless of the type of pointer year, the ordination revealed strong longitudinal and  
299 latitudinal patterns in the occurrence of pointer years, and particularly for negative pointer  
300 years (Figure 2). The aggregation level of ordination was generally low for all types of pointer  
301 years, except for QTL positive pointer years in which sites were strongly aggregated around  
302 the origin of the ordination (Figure 2). For all types of pointer years, West was the most  
303 geographically defined group while some overlap occurred between Central and East,  
304 especially during positive pointer years.

305

### 306 *Spatial scale of pointer year occurrence*

307 Both YTY and QTL pointer years mainly occurred at site level and more rarely at larger  
308 scales, as underlined by the few main pointer years (2 to 7) identified on each transect (Figure  
309 3). This low synchronicity along all transects was, nevertheless, significantly higher than what  
310 would be expected by a random process (Table 2).

311 Only three out of 18 YTY main pointer years (1927,1959 and 1974) were recorded  
312 simultaneously on two transects, while no synchronous QTL main pointer year occurred

313 across transects (Figure 3). This level of synchronicity across transects was significantly  
314 lower than would be expected by a random process (Table 2).  
315 The occurrence of main pointer years was temporally irregular and transect-specific (Figure  
316 3). QTL main pointer years did not reveal clear temporal patterns in any of the transects. YTY  
317 main pointer years only occurred between 1920-1960 in East, precisely when they ceased  
318 occurring in West. Along Central, YTY main pointer years only occurred between 1960-  
319 1980, except 1927. Regardless of the pointer year type, the number of positive and negative  
320 main pointer years was identical in West and East. YTY main pointer years were more  
321 numerous than QTL main pointer years on all transects (Figure 3).

322

### 323 *Spatial frequency of main pointer years*

324 The spatial distribution of sites recording either YTY or QTL main pointer years varied over  
325 the study period along each transect (Figure 4). Nevertheless, a number of main pointer years  
326 predominantly occurred at southern or northern sites, e.g., YTY 1924 in East and YTY 1927  
327 in Central. Along West, both YTY and QTL main pointer years tended to occur more often in  
328 the north. Along Central, all main pointer years before 1960 mostly occurred at northern sites,  
329 their occurrence extending southward thereafter but disappearing from the central part of the  
330 transect (C8-C12). Along East, main pointer years (both YTY and QTL) in the late 1950s had  
331 a dominant northern occurrence.

332 The latitudinal range of sites recording YTY main pointer years was larger than those  
333 recording QTL main pointer years, e.g., 1913 in West and 1943 in East (Figure 4). All or  
334 almost all main pointer years occurred at some sites (W10-W12, C7 and E5) while, at other  
335 sites, only few were observed (C1, E1) (Figure 4).

336

337 *Climatic origin of pointer years*

338 Regardless of their type, positive pointer years were mainly associated with climatic  
339 anomalies during previous growing season while negative pointer years were mainly  
340 associated with current year climatic anomalies. Significant associations observed with mean,  
341 maximum and minimum temperature were mostly similar (Supplement S5). Few significant  
342 associations with monthly oscillation indices were found, and these were site-specific  
343 (Supplement S5).

344 *Positive pointer years*

345 There was a strong and spatially consistent association between both positive YTY and QTL  
346 pointer years and below-average previous growing season mean temperatures (June<sub>t-1</sub> through  
347 August<sub>t-1</sub>) (Figure 5). This overall strong association was also highlighted by relatively high  
348 correlation and response function coefficients (Supplement S4). However, some differences  
349 between the climatic origin of YTY and QTL pointer years were evident. For instance, the  
350 association between positive pointer years and below-average August<sub>t-1</sub> temperature was only  
351 significant for YTY pointer years in Central and West and for QTL pointer years in East and  
352 West. Positive YTY pointer years were also associated with below-average temperatures in  
353 May<sub>t-1</sub> and December<sub>t-1</sub> in East, and positive QTL pointer years with below-average  
354 temperatures in October<sub>t-1</sub> through November<sub>t-1</sub> in West and Central.

355 Significant associations between maximum temperature anomalies and positive pointer years  
356 (both YTY and QTL) were mostly comparable to those observed for mean temperature.

357 However, associations observed with below-average mean temperature in November<sub>t-1</sub> and  
358 December<sub>t-1</sub>, were not longer observed with maximum temperature. In addition, associations  
359 between QTL pointer years and above-average spring maximum temperature (April<sub>t</sub>-June<sub>t</sub>),  
360 that were not observed for mean temperature, emerged in Central.

361 Associations between positive pointer years and precipitation were few but mainly linked to  
362 above-average previous growing season anomalies. Positive YTY pointer years were  
363 associated with higher  $\text{May}_{t-1}$ - $\text{June}_{t-1}$  precipitation, and positive QTL pointer years were  
364 linked to anomalously high  $\text{July}_{t-1}$ - $\text{August}_{t-1}$  precipitation (Figure 5).

#### 365 *Negative pointer years*

366 Significant associations between the occurrence of negative pointer years and climatic  
367 anomalies were less numerous as compared to positive (Figure 5).

368 Both negative YTY and QTL pointer years were associated with below-average  $\text{January}_t$   
369 mean temperature in all transects. A strong association between negative YTY pointer years  
370 and below-average  $\text{April}_t$  temperature was found in West.

371 Significant associations between maximum temperature anomalies and negative pointer years  
372 (both YTY and QTL) were largely comparable to those observed for mean temperature.

373 However, we noticed that associations with below-average  $\text{January}_t$  mean temperature in  
374 Central and East were no longer observed for maximum temperature. In addition, significant  
375 associations with below-average  $\text{April}_t$  maximum temperature were more numerous than for  
376 mean temperature.

377 Significant associations with precipitation were rare and very site-specific (Figure 5).

378 However, both types of negative pointer years were significantly associated with above-  
379 average  $\text{May}_t$  precipitation (Figure 5).

380

#### 381 *Temporal changes in the frequency of pointer years*

382 We detected few significant changes in the frequency of YTY and QTL pointer years **between**  
383 **the early and late 20<sup>th</sup> century**. The frequency of positive pointer years of both types remained  
384 largely the same **between these two periods**, except in West. There, the frequency of positive

385 YTY pointer years increased in the southern region, while the frequency of positive QTL  
386 pointer years decreased in the northern region (Figure 6).  
387 Negative QTL pointer years **became significantly more frequent in all six regions between the**  
388 **early and late 20<sup>th</sup> century**, whereas the frequency of negative YTY pointer years did not  
389 change, except in the southern region of West where it increased (Figure 6).

390

## 391 Discussion

### 392 *Spatial synchronicity of pointer years*

393 Few pointer years synchronized across boreal Quebec suggesting that, even if common  
394 climatic forcing causing extreme tree growth occurs, these events are rare, particularly along  
395 longitudinal gradients. This suggests that climatic forcing leading to the occurrence of  
396 synchronous growth events, such as pointer years or frost rings (Plasse et al. 2015), occur  
397 more easily along latitudinal climatic gradients in our study area. Longitudinal climatic  
398 gradients in boreal Quebec, triggering differences in climate-growth relationships in black  
399 spruce (Nicault et al. 2014), appear to prevent the formation of synchronous pointer years at  
400 large scales. Pointer years occurring simultaneously over the entire study area would involve  
401 large-scale climatic and biotic events, such as volcanic eruptions (Gennaretti et al. 2014),  
402 anomalies in atmospheric circulation patterns (Schultz et al. 2009) and/or region-wide  
403 synchronous insect outbreaks (Boulanger et al. 2012). However, our data did not suggest  
404 occurrence of such events during the 20th century.

405

### 406 *Climatic origin of pointer years*

407 Positive pointer years in boreal Quebec, despite their site-specific occurrence, originated from  
408 similar site-level climatic anomalies during the previous growing season. We hypothesize that  
409 low temperature and high precipitation anomalies during the previous growing season

410 increase carbon accumulation before dormancy by lowering climatic stress, e.g., heat and  
411 water limitation, which results in growth-promoting higher carbon stocks the following  
412 growing season. Indeed, a recent study on black spruce growth across the entire boreal  
413 Canada has shown that water limitation and heat stress negatively affected carbon  
414 assimilation in black spruce the year preceding tree-ring formation and decrease growth  
415 during the subsequent growing season (Girardin et al. 2015). A positive effects of moist  
416 previous summers on black spruce growth during the subsequent growing season has also  
417 been reported earlier for western Quebec (Hofgaard et al. 1999).

418 Negative pointer years of both types were not associated to any particular climatic conditions,  
419 suggesting that negative pointer years might arise from complex and temporally inconsistent  
420 combinations of climatic anomalies (Schultz et al. 2009). For example, repeated frost events  
421 during June and July, a period with high cambium activity (Rossi et al. 2006), have been  
422 shown to disturb growth and lead to the formation of negative pointer years (Plasse et al.  
423 2015). The lack of consistent climatic signature in the occurrence of negative pointer years  
424 might also suggest that their appearance is strongly modulated by site-level factors (Neuwirth  
425 et al. 2004), e.g., topography (Desplanque et al. 1999) and/or ground vegetation (Plasse et al.  
426 2015).

427

#### 428 *Temporal changes in the frequency of pointer years*

429 The large-scale increase in the frequency of negative QTL pointer years [between the early and](#)  
430 [late 20<sup>th</sup> century](#) echoes recent growth declines observed in boreal forests of North America  
431 (Girardin et al. 2014; Girardin et al. 2015) and might, similarly to reported growth declines,  
432 reflect negative effects of climate warming, e.g., heat stress, on multi-decadal growth patterns  
433 (Girardin et al. 2015). The [observed higher frequency](#) of negative QTL pointer years does not

434 appear to be linked to a decrease in water availability since no significant changes in regional  
435 precipitation patterns occurred in the study area during the 20<sup>th</sup> century (Wang et al. 2014).  
436 The temporally stable frequency of YTY pointer years (both positive and negative) **between**  
437 **the early and late 20<sup>th</sup> century** indicates that black spruce inter-annual growth variations,  
438 contrarily to multi-decadal growth variations, do not appear to be affected by climate change.  
439 This contradicts the fact that climate-change related phenomena, such as the decrease in  
440 Arctic sea ice cover, have been reported to significantly co-vary with inter-annual growth  
441 dynamics of black spruce in eastern North-America (Girardin et al. 2014).

442

443 *Growth anomalies as signs of tree growth vulnerability to climate change*

444 The observed large-scale increase in the frequency of negative QTL pointer years **between the**  
445 **early and late 20<sup>th</sup> century** could reflect an increasing incapacity of trees to maintain stable  
446 above-ground growth in the face of warming temperatures. Such an increase might also point  
447 toward higher carbon allocation to roots to improve access to water and nutrients (Gifford and  
448 Evans 1981; Lapenis et al. 2013) during warmer growing conditions. A continuous increase in  
449 maximum tree ring density has been recently reported in eastern North America (Mannshardt  
450 et al. 2012). These observations, along with the observed increase in the frequency negative  
451 QTL pointer years, imply that trees more often produce dense and narrow rings characterized  
452 by higher proportions of latewood cells. Since such cells increase hydraulic capacity (Pothier  
453 et al. 1989), more frequent negative pointer years could indicate a mitigation mechanism  
454 against heat stress and decreased water availability. Future studies need to investigate  
455 synergies between below- and above-ground growth dynamics of adult black spruces, e.g., to  
456 test whether declines in stem growth synchronize with increased root growth.

457

## 458 Conclusion

459 Growth anomalies seldom synchronized across sites, highlighting the site-specific occurrence  
460 of extreme growth events in black spruce forests of boreal Quebec. Despite their site-specific  
461 occurrence, positive growth anomalies were mainly triggered by climatic anomalies during  
462 the previous growing season. The lack of coherent climatic signature for negative growth  
463 anomalies suggested that their origin was more complex and modulated to a higher degree by  
464 non-climatic factors, e.g., site-level factors, as compared with positive growth anomalies. Our  
465 results call for further analyses on the role of site conditions (altitude, topography) and stand  
466 characteristics (tree age and density) in modulating tree responses to climate change.  
467 Within the time frame of their definition, pointer years can bring important information on  
468 past climate-growth interactions. Because, the time frame used to define growth anomaly  
469 strongly affects the outcome of pointer year identification and subsequent analyses, we  
470 generally advocate for the use of both short- and long-term time frame for more  
471 comprehensive and objective analyses.

472

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Table 1. Characteristics of transects.

	West	Central	East
<b>Sampling</b>			
Sites	14	15	17
Series per site (range)	10-22	19-25	12-27
Series per transect	183	342	370
Site series length (range in years)	120-302	140-312	136-301
<b>Climate*</b>			
Latitude [WGS84]	[50.3N, 52.6N]	[50N, 52.2N]	[50.2N, 52.9N]
Longitude [WGS84]	[-77.7E, -77.1E]	[-74.1E, -72.1E]	[-68.8E, -67.1E]
Growing season	late April-early Oct	early May-early Oct	early May-early Oct
Growing season [days]	<100-120	<100-120	<100-120
Warmest month (min, max [°C])	July (11, 18)	July (10, 19)	July (10, 19)
Coldest month (min, max [°C])	Jan (-29, -14)	Jan (-30, -14)	Jan (-29, -13)
Most Snow	Dec-Jan	Dec-Jan-Mar	Dec-Jan-Mar
Most Rain	Sept	Jul (Sept)	Jul (Sept)

\*Note: Climate data represent variability in site-level climate along each transect.

**Table 2.** Pointer years' synchronicity along and across transects. The table presents results of contingency analyses for YTY and QTL pointer years, respectively; only collapsed Chi-square statistics are presented in the table. Significant p-values ( $p < 0.05$ ) are in bold.

	Chi-square	df	p-value
<b>YTY pointer years</b>			
Along transects			
West	33.0	4	<b>&lt;0.001</b>
Central	44.4	4	<b>&lt;0.001</b>
East	40.7	4	<b>&lt;0.001</b>
Across transects	0.2	1	0.7
<b>QTL pointer years</b>			
Along transects			
West	9.9	3	<b>0.02</b>
Central	6.6	3	0.09
East	21.1	4	<b>&lt;0.001</b>
Across transects	0.04	1	0.8

**Fig. 1.** Location, bioclimatic domains (a, b) and climate (c) of the study area and study sites along the latitudinal West (black), Central (red) and East (blue) transects in northern Quebec. The median site latitude on each transect separates southern sites (circles) from northern sites (triangles). Mean temperature (°C) and precipitation (mm) along each transect are presented. Standard deviation for each climate variable is added in pale colors.

**Fig. 2.** Nonmetric multidimensional scaling of positive and negative YTY and QTL pointer year occurrence at site level between 1901-2001. West, Central and East sites are plotted in black, red and blue, respectively. Latitude and longitude (arrows) significantly explained each ordination ( $p < 0.01$ ).  $s$  values give the stress of the ordination.  $s$  values between 0.1 and 0.2 usually provide a good representation of multidimensional between-site distances.

**Fig. 3.** Transect-level frequency and occurrence of pointer years for 1901-2001. Results for YTY and QTL pointer years are respectively presented in the upper and lower section of the figures. Left Y axes show proportion of sites (%) recording a pointer year for each calendar year. Right Y axes show the number of sites included in the analyses through time (black horizontal lines). Positive and negative pointer years are plotted in black and grey, respectively. Calendar years' markers are given for main pointer years, i.e., years when more than 50% of the sites along a transect record the same pointer year.

**Fig. 4.** Spatial frequency of main pointer years along their respective transect (see Fig. 3 for identified main pointer years). Filled squares show site-level occurrence of transect-specific main pointer years. Negative and positive main pointer years are plotted in blue and red, respectively. Light and dark colors are used for YTY and QTL main pointer years, respectively. x stands for years when pointer year identification was not conceivable (i.e., when sites series were based on less than 10 trees). Panels are aligned using the median latitude of each transect (black horizontal line), representing the limit between southern and northern sites (West: 51.4°; Central: 51.5°; East: 51.3°).

**Fig. 5.** Significant associations between the occurrence of pointer years and monthly temperature ( $T_{\text{mean}}$  and  $T_{\text{max}}$ ) and total precipitation (1901-2001) at site level, as revealed by superposed epoch analyses. Analyses were run from previous May ( $\text{May}_{t-1}$ ) to current August ( $\text{August}_t$ ) and for positive (upper section) and negative (lower section) pointer years. Empty and filled circles represent significant associations found for YTY and QTL pointer years, respectively. Filled circles with a black outline are sites at which associations were significant for both YTY and QTL pointer years. Blue and red circles stand for significant association with below- and above-average climate, respectively. Maps of the study area are only plotted when three or more sites along the same transect presented a significant association ( $p < 0.05$ ) to a specific monthly climate variable.

**Fig. 6.** Changes in the frequency of pointer years between the early and late 20<sup>th</sup> century. Changes in the frequency of pointer years between the first (1901-1935) and last sub-period (1971-2001) were identified for each region using generalized linear models with either binomial or quasibinomial distribution according to overdispersion. Blue '-' and red '+' indicate significant ( $p < 0.05$ ) decreases and increases in the frequency of pointer years, respectively, while white '0' denote non-significant changes. W, C, E, N and S stand for West, Central, East, North and South, respectively.

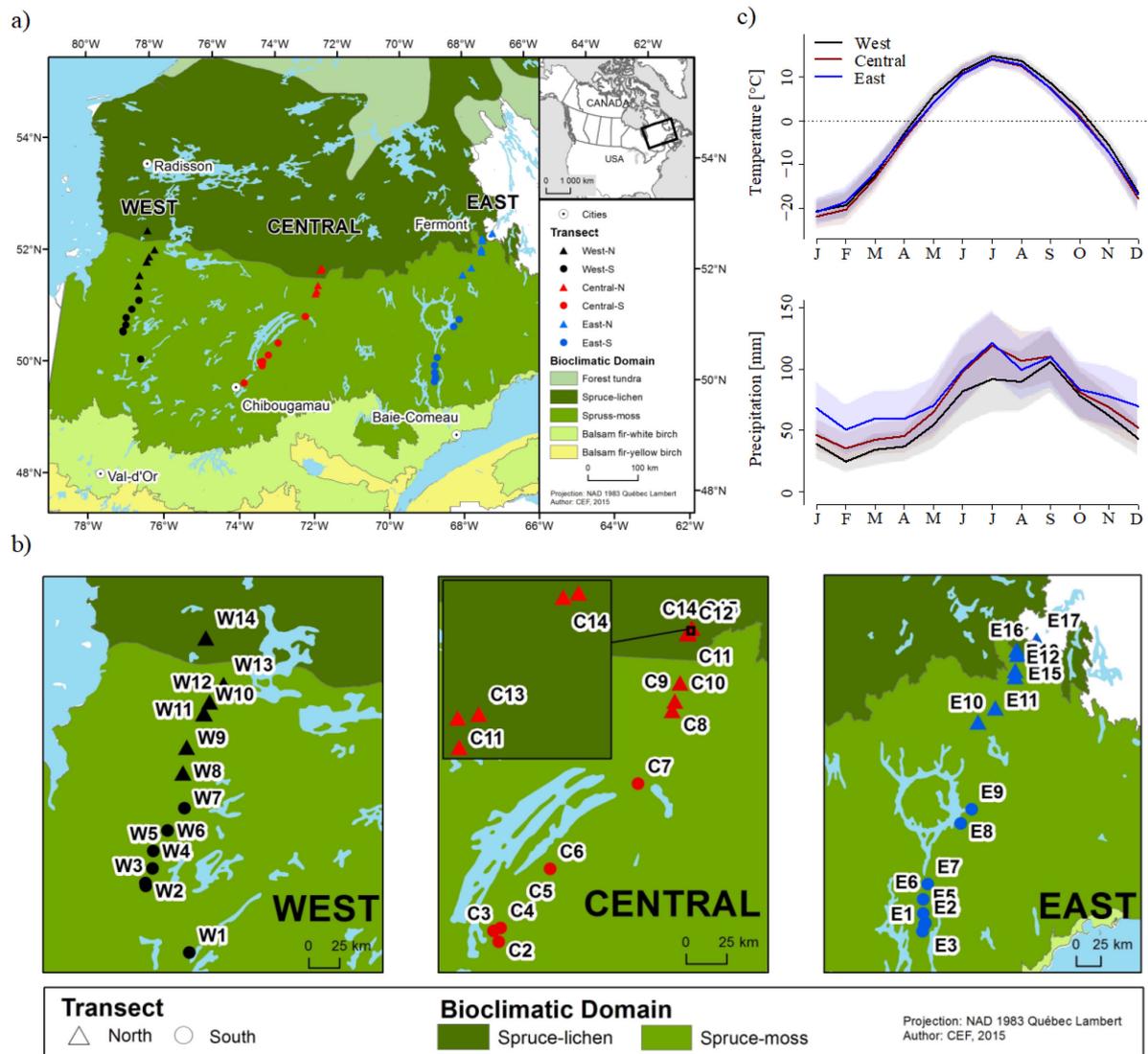
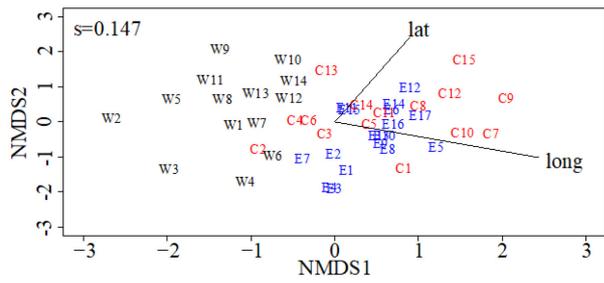
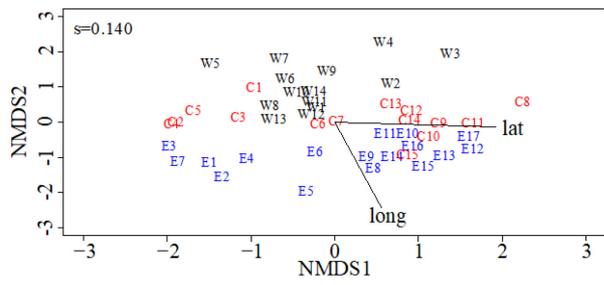


Figure 1.

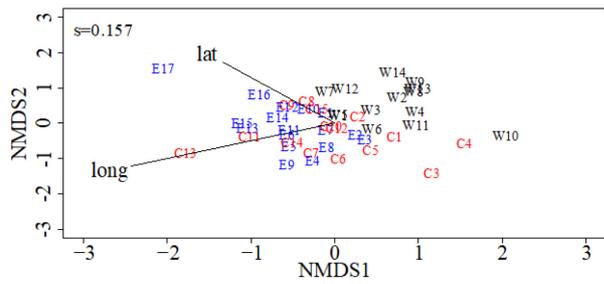
YTY - Positive pointer years



YTY - Negative pointer years



QTL - Positive pointer years



QTL - Negative pointer years

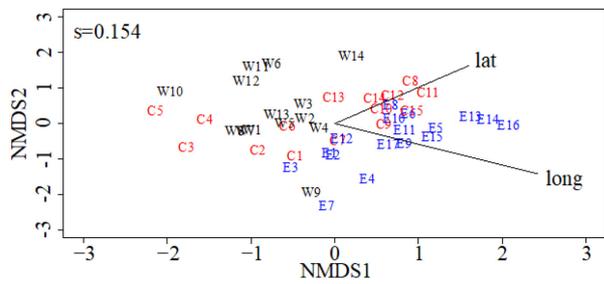


Figure 2.

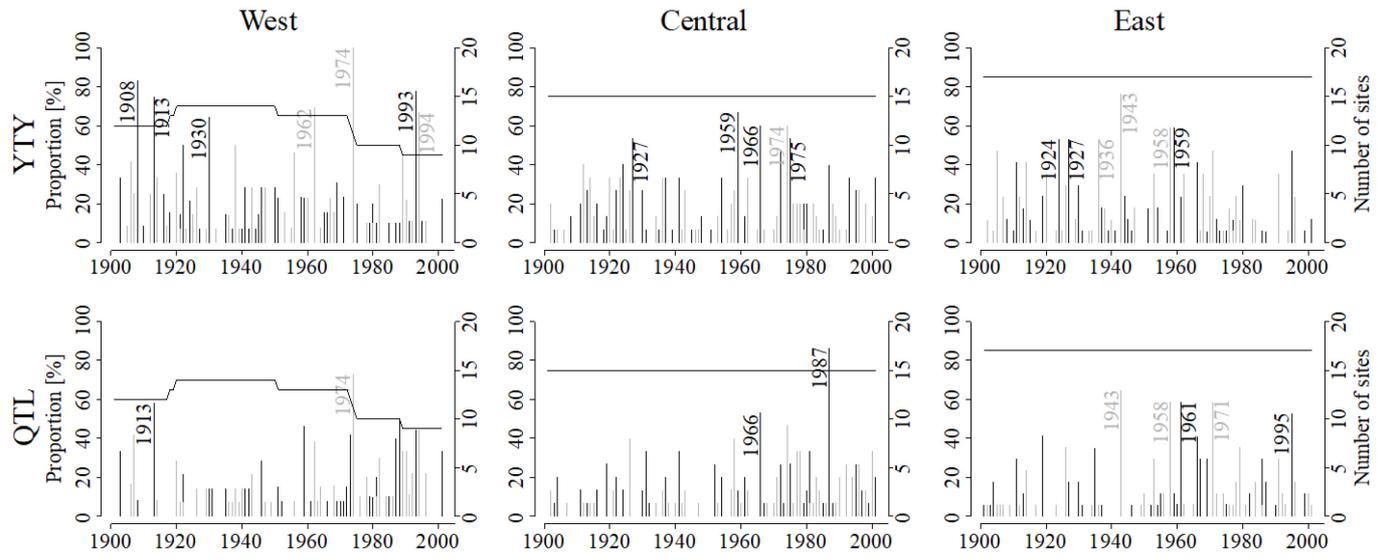


Figure 3.

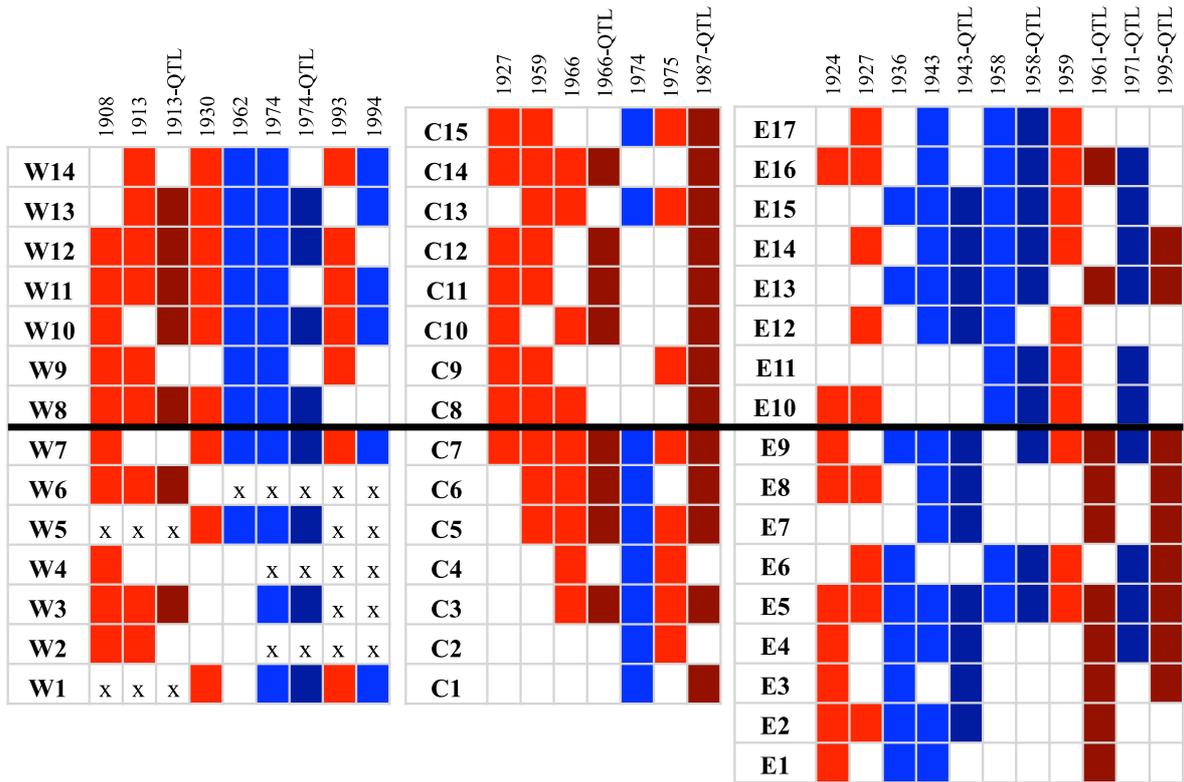


Figure 4.

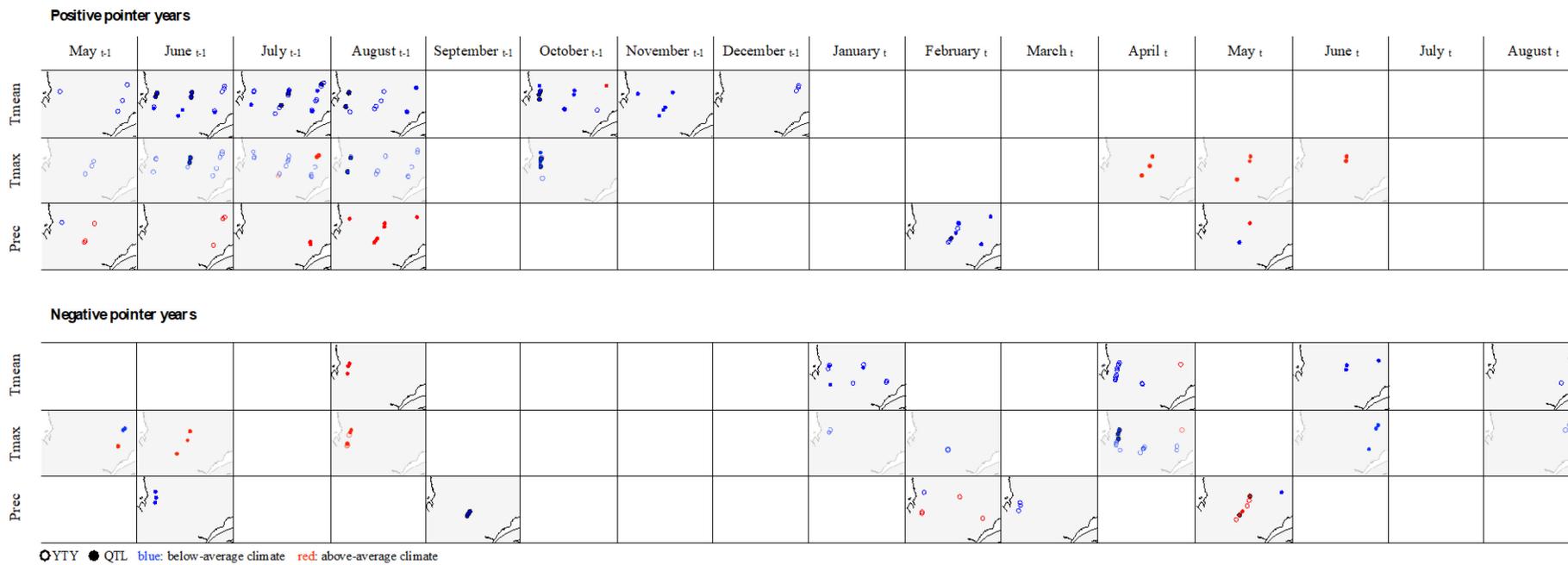


Figure 5.

		YTY			QTL		
		W	C	E	W	C	E
Positive	N	0	0	0	+	0	0
	S	-	0	0	0	0	0
Negative	N	0	0	0	+	+	+
	S	+	0	0	+	+	+

Figure 6.

## Supplementary material

### Supplement S1. Geographical characteristics of sampling sites

Site	Latitude	Longitude	Forest domains	Transect	Region
W1	50.253	-77.096	Spruce-moss	West	South
W2	50.703	-77.689	Spruce-moss	West	South
W3	50.725	-77.697	Spruce-moss	West	South
W4	50.837	-77.637	Spruce-moss	West	South
W5	50.969	-77.655	Spruce-moss	West	South
W6	51.132	-77.52	Spruce-moss	West	South
W7	51.312	-77.356	Spruce-moss	West	South
W8	51.572	-77.428	Spruce-moss	West	North
W9	51.764	-77.42	Spruce-moss	West	North
W10	52.026	-77.26	Spruce-moss	West	North
W11	52.027	-77.269	Spruce-moss	West	North
W12	52.119	-77.216	Spruce-moss	West	North
W13	52.261	-77.077	Spruce-moss	West	North
W14	52.587	-77.357	Spruce-lichen	West	North
C1	50.012	-74.142	Spruce-moss	Central	South
C2	50.349	-73.676	Spruce-moss	Central	South
C3	50.409	-73.729	Spruce-moss	Central	South
C4	50.428	-73.669	Spruce-moss	Central	South
C5	50.547	-73.52	Spruce-moss	Central	South
C6	50.779	-73.27	Spruce-moss	Central	South
C7	51.288	-72.542	Spruce-moss	Central	South
C8	51.712	-72.273	Spruce-moss	Central	North
C9	51.764	-72.253	Spruce-moss	Central	North
C10	51.869	-72.216	Spruce-moss	Central	North
C11	52.148	-72.168	Spruce-lichen	Central	North
C12	52.154	-72.169	Spruce-lichen	Central	North
C13	52.155	-72.162	Spruce-lichen	Central	North
C14	52.18	-72.136	Spruce-lichen	Central	North
C15	52.181	-72.131	Spruce-lichen	Central	North
E1	50.177	-68.818	Spruce-moss	East	South
E2	50.239	-68.789	Spruce-moss	East	South
E3	50.248	-68.781	Spruce-moss	East	South
E4	50.254	-68.777	Spruce-moss	East	South
E5	50.342	-68.806	Spruce-moss	East	South
E6	50.473	-68.811	Spruce-moss	East	South
E7	50.615	-68.744	Spruce-moss	East	South
E8	51.176	-68.266	Spruce-moss	East	South
E9	51.306	-68.109	Spruce-moss	East	South
E10	52.116	-68.005	Spruce-moss	East	North
E11	52.245	-67.744	Spruce-moss	East	North
E12	52.53	-67.438	Spruce-moss	East	North
E13	52.587	-67.438	Spruce-moss	East	North
E14	52.587	-67.437	Spruce-moss	East	North
E15	52.738	-67.402	Spruce-lichen	East	North
E16	52.779	-67.409	Spruce-lichen	East	North
E17	52.864	-67.107	Spruce-lichen	East	North

**Supplement S2.** Raw and detrended site series characteristics and statistics.

Site	Cores	Cookies	Samples	Start	End	Length (years)	Ring width mean (SD)	Raw		Detrended	
								SNR <sup>1</sup>	EPS <sup>2</sup>	SNR <sup>1</sup>	EPS <sup>2</sup>
W1	10	0	10	1892	2012	120	0.789 (0.292)	6.188	0.861	0.629	0.386
W2	7	3	10	1831	2012	181	0.424 (0.243)	22.872	0.958	1.481	0.597
W3	10	0	10	1822	2012	190	0.380 (0.142)	1.591	0.614	1.569	0.611
W4	7	3	10	1763	2013	250	0.265 (0.078)	2.544	0.718	3.112	0.757
W5	7	4	11	1793	2012	219	0.327 (0.125)	1.157	0.536	0.59	0.371
W6	3	7	10	1738	2013	275	0.278 (0.062)	0.785	0.44	2.588	0.721
W7	12	7	19	1839	2014	175	0.352 (0.219)	25.428	0.962	1.998	0.666
W8	13	9	22	1779	2014	235	0.329 (0.120)	3.378	0.772	4.499	0.818
W9	0	13	13	1819	2009	190	0.539 (0.169)	5.342	0.842	2.706	0.73
W10	0	13	13	1710	2012	302	0.286 (0.090)	4.335	0.813	2.473	0.712
W11	0	11	11	1740	2013	273	0.305 (0.076)	1.145	0.534	2.372	0.703
W12	0	15	15	1723	2013	290	0.274 (0.067)	2.142	0.682	3.061	0.754
W13	14	1	15	1819	2014	195	0.326 (0.093)	2.781	0.736	1.971	0.663
W14	0	14	14	1800	2012	212	0.679 (0.312)	18.413	0.948	3.376	0.789
C1	15	4	19	1873	2013	140	0.950 (0.460)	21.215	0.955	3.718	0.788
C2	15	6	21	1859	2013	154	0.851 (0.236)	4.444	0.816	6.165	0.86
C3	15	10	25	1865	2013	148	0.795 (0.535)	45.375	0.978	8.66	0.896
C4	15	9	24	1869	2013	144	0.677 (0.329)	44.047	0.978	11.151	0.918
C5	15	10	25	1757	2013	256	0.606 (0.395)	22.607	0.958	4.604	0.822
C6	15	7	22	1866	2013	147	0.723 (0.269)	12.862	0.928	3.441	0.775
C7	15	10	25	1816	2013	197	0.493 (0.369)	54.357	0.982	6.929	0.874
C8	15	10	25	1701	2013	312	0.381 (0.120)	7.668	0.885	4.765	0.827
C9	15	8	23	1714	2013	299	0.390 (0.117)	7.36	0.88	3.529	0.779
C10	12	11	23	1758	2013	255	0.462 (0.139)	6.228	0.862	1.812	0.644
C11	14	8	22	1766	2013	247	0.463 (0.216)	13.197	0.93	3.009	0.751
C12	14	7	21	1754	2013	259	0.469 (0.211)	8.086	0.89	6.181	0.861
C13	14	10	24	1746	2013	267	0.566 (0.144)	1.434	0.589	2.912	0.744
C14	15	8	23	1796	2013	217	0.463 (0.282)	14.352	0.935	7.012	0.875
C15	14	6	20	1797	2013	216	0.395 (0.149)	3.594	0.782	2.675	0.728
E1	14	10	24	1872	2013	141	1.075 (0.447)	13.404	0.931	5.261	0.84
E2	14	7	21	1819	2013	194	0.642 (0.201)	3.045	0.753	2.147	0.682
E3	16	9	25	1771	2013	242	0.617 (0.273)	3.481	0.777	7.45	0.882
E4	15	8	23	1765	2013	248	0.539 (0.149)	3.117	0.757	3.553	0.78
E5	15	10	25	1768	2013	245	0.512 (0.150)	8.189	0.891	3.772	0.79
E6	15	7	22	1712	2013	301	0.336 (0.162)	8.913	0.899	1.6	0.615
E7	15	9	24	1877	2013	136	0.934 (0.437)	21.556	0.956	8.62	0.896
E8	16	11	27	1794	2013	219	0.655 (0.281)	21.436	0.955	4.881	0.83
E9	15	11	26	1819	2013	194	0.577 (0.227)	22.342	0.957	5.4	0.844
E10	10	6	16	1737	2013	276	0.394 (0.095)	1.978	0.664	2.253	0.693
E11	10	5	15	1792	2013	221	0.367 (0.102)	2.275	0.695	4.149	0.806
E12	9	3	12	1761	2013	252	0.516 (0.158)	1.787	0.641	3.093	0.756
E13	14	10	24	1831	2013	182	0.644 (0.286)	18.69	0.949	1.128	0.53
E14	15	11	26	1835	2013	178	0.609 (0.296)	33.118	0.971	2.798	0.737
E15	15	5	20	1799	2013	214	0.405 (0.112)	5.985	0.857	2.304	0.697
E16	14	6	20	1829	2013	184	0.477 (0.222)	4.48	0.818	1.748	0.636
E17	14	6	20	1800	2013	213	0.757 (0.328)	5.352	0.843	6.69	0.87

<sup>1</sup> SNR: Signal-to-noise ratio; <sup>2</sup> EPS: Expressed population signal

**Supplement S3.** List of meteorological stations used to verify the quality of grid climate data

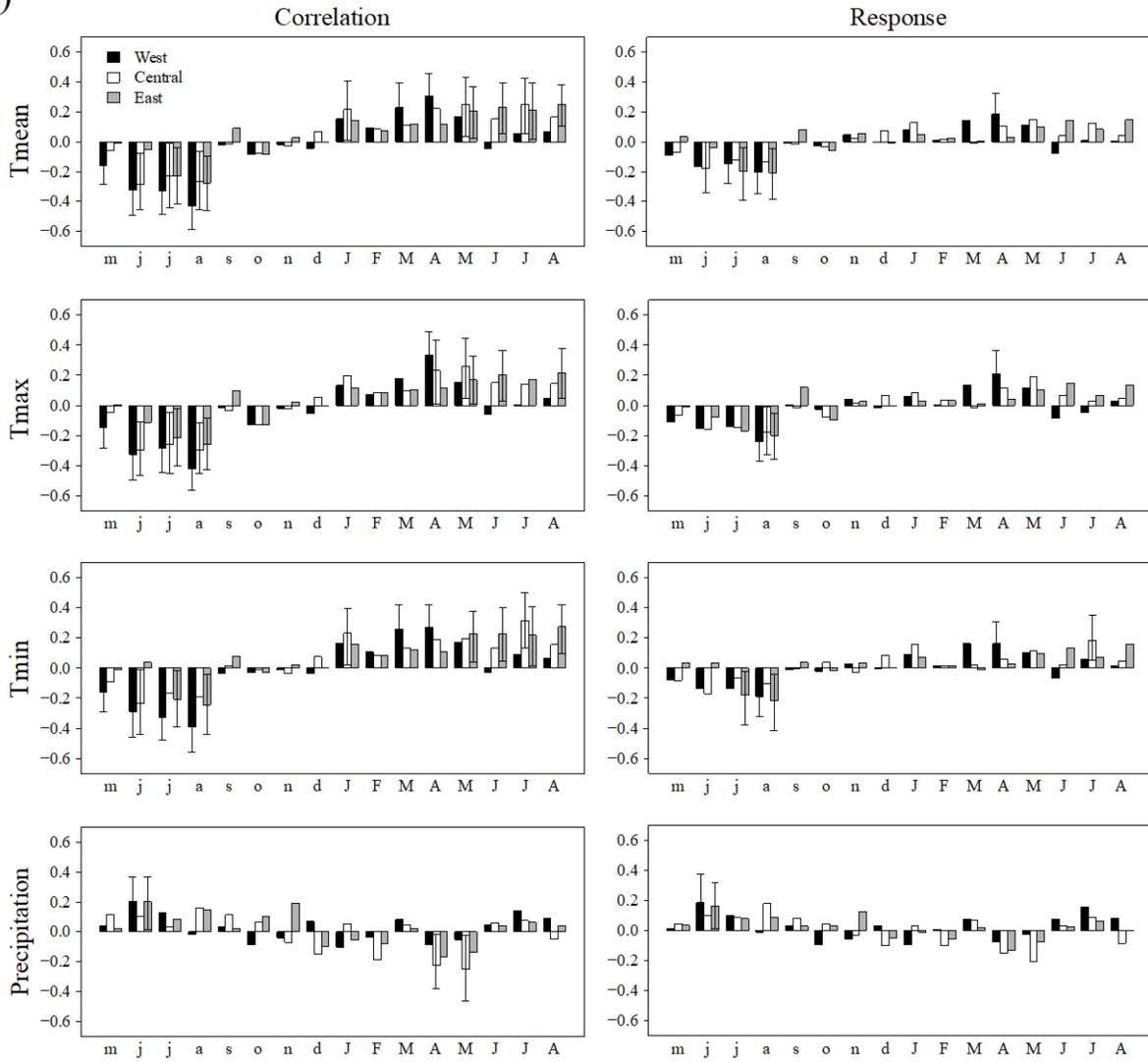
CRU TS 3.22. Identification numbers (ID) are given according to climat.meteo.gc.ca,

Government of Canada. Coordinates are in WGS84. “Monthly data” indicates periods for which monthly data were available.

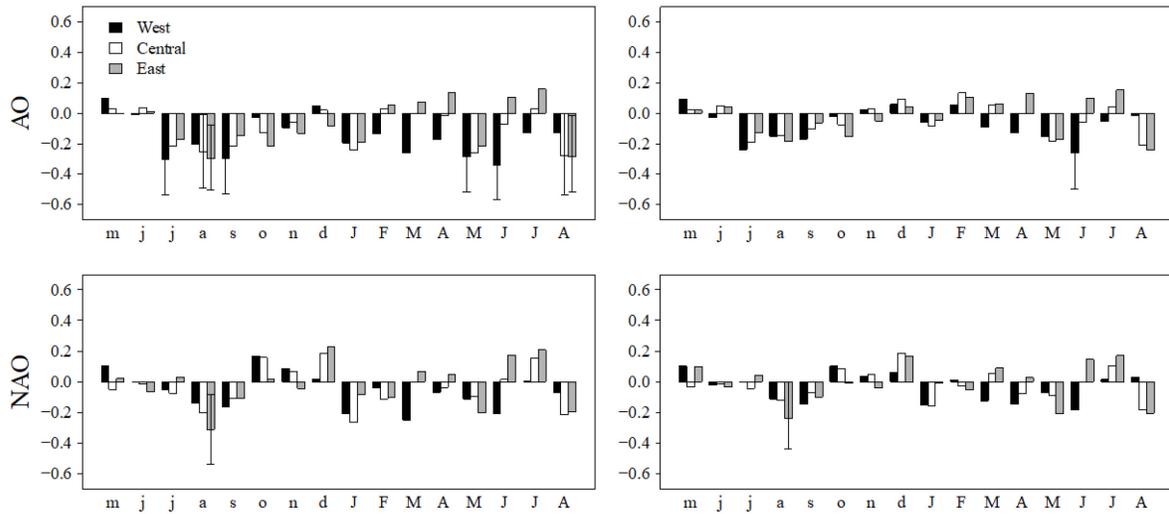
STATION	ID	Latitude	Longitude	Altitude (m)	Monthly data
Baie Comeau A	7040440	49.13	-68.20	21.60	1947-2004
Chapais 2	7091305	49.78	-74.85	396.20	1962-2004
Chibougamau	7091400	49.92	-74.37	378	1936-1975
Chibougamau Chapais A	7091404	49.77	-74.53	378.10	1982-1992
Chute-des-Passes	7061541	49.84	-71.17	398.20	1960-1976
Eastmain	7092305	52.25	-78.52	6.10	1960-1993
Fermont	704BC70	52.80	-67.08	594.40	1976-2004
Manicouagan A	7044470	50.65	-68.83	406.30	1961-1971
Matagami A	7094639	49.77	-77.82	281.30	1973-1991
Pentecote	7045910	49.73	-67.17	15	1971-2004
Poste Montagnais	7046212	51.88	-65.73	609.60	1973-2004

**Supplement S4.** Correlation (left panel) and response functions (right panel) between detrended transect series and monthly climate variables. Correlations and response functions were calculated between 1901-2001 for monthly temperatures (Tmean, Tmax, Tmin) and precipitation (CRU TS 3.22) (a), and between 1950-2001 for monthly oscillation indices (NOAA) (b). Monthly variables and indices go from previous May (m) to current August (A). Error bars are only displayed for significant correlations or response functions ( $p < 0.05$ ).

a)



b)



**Supplement S5.** Significant associations between the occurrence of pointer years at site level and site-specific monthly temperature ( $T_{min}$ ) values (1901-2001) and the two monthly oscillation indices (1960-2001), as shown as superposed epoch analyses. Analyses were run from previous May ( $May_{t-1}$ ) to current August ( $August_t$ ) and for positive (upper figure section) and negative (lower figure section) pointer years. Maps are only plotted when three or more sites along the same transect presented significant association ( $p < 0.05$ ) for the monthly climate variable. Empty and filled circles represent significant associations found for YTY and QTL pointer years, respectively. Filled circles with a black outline are sites at which associations were significant for both YTY and QTL pointer years. Blue and red circles stand for significant association with below- and above-average climate, respectively.

